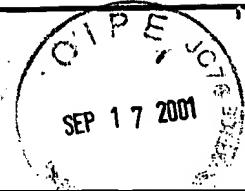


09-10401

CPA/16418



# CONTINUED PROSECUTION APPLICATION (CPA)

## REQUEST TRANSMITTAL

*Submit an original, and a duplicate for fee processing  
(Only for Continuation or Divisional applications under 37 C.F.R. 1.53(d))*

CHECK BOX, if applicable: *#28*  
*9/25/01***"EXPRESS MAIL" MAILING LABEL**Number: EL758643113US Date of Deposit: September 17, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box CPA, Washington, D.C. 20231, on September 17, 2001.  
Typed or Printed Name: JAMES J. DIEHL Signed: *James J. Diehl*

<b>Address to:</b>  Assistant Commissioner for Patents Box CPA Washington, DC 20231	<i>Attorney Docket No.</i>	A-63899-1/RFT/JJD
	<i>First Named Inventor</i>	DAVID J. ANDERSON
	<i>Examiner Name</i>	J. GRUN, Ph.D.
	<i>Group/Art Unit</i>	1641
	<i>Express Mail Label No.</i>	EL758643113US

This is a request for  continuation or  divisional application under 37 C.F.R. § 1.53(d),

(continued prosecution application (CPA)) of prior application number 08 / 719,571

filed on 09/25/96, entitled, Methods and Compositions for Neural Progenitor Cells

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. §1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371.

A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. §1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request. 37 C.F.R. § 1.78(a).

1.  Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 C.F.R. §1.116 in the prior nonprovisional application.
2.  A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. §1.53(d)(4).
  - a.  **DELETE** the following inventor(s) named in the prior nonprovisional application:  
.....  
.....
  - b.  The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4.  A new power of attorney or authorization of agent (PTO/SB/81) is enclosed
5. Information Disclosure Statement (IDS) is enclosed:
  - a.  PTO-1449
  - b.  Copies of IDS Citations

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RECEIVED

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	Total Claims (37 C.F.R. § 1.16(c) or (j))	14 -20 =	0	X \$ 9	\$ 0
	Independent Claims (37 C.F.R. § 1.16(b) or (i))	4 -3 =	1	X \$ 40	\$ 40
	Multiple dependent claims (if applicable) (37 C.F.R. § 1.16(d))			+ \$ 135	\$ 135
				BASIC FEE (37 C.F.R. § 1.16)	\$ 355
				<b>TOTAL</b> =	\$ 530

6. Small entity status:

- a.  A small entity statement is enclosed, if (b) and (c) do not apply.
- b.  A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c.  Is no longer claimed.

7. The Assistant Commissioner is hereby authorized to charge any additional fees, including extension fees, or credit any overpayment to Deposit Account 06-1300 (Our File A-63899-1/RFT/JJD).

- a.  Fees required under 37 C.F.R. § 1.16.
- b.  Deficiency fees required under 37 C.F.R. § 1.17.
- c.  Fees required under 37 C.F.R. § 1.18

8.  A check in the amount of \$530.00 is enclosed for the filing fee.

9.  Return postcard

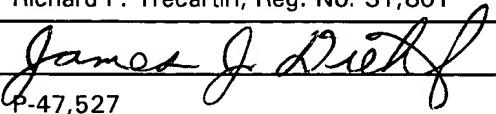
**NOTE:**

*The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.*

**10. NEW CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	<i>(Insert Customer No. or Attach bar code label here)</i>			<input type="checkbox"/> New correspondence address below
Name				
Address				
City		State		Zip Code
Country		Telephone		Fax

**11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	James J. Diehl, Reg. No. P-47,527 for Richard F. Trecartin, Reg. No. 31,801
Signature	
Registration No. (Attorney/Agent)	P-47,527
Date	September 17, 2001